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**CONFIRMATION NO. 7398** 

| Bib Data Sheet  |                                   |                     |         |                        |             |    |                                      |  |
|---|-----------------------------------|---------------------|---------|------------------------|-------------|----|--------------------------------------|--|
| SERIAL NUMBEI<br>09/940,509   | FILING DATE<br>08/27/2001<br>RULE | CLASS<br>705        |         | GROUP ART UNIT<br>1762 |             | DO | ATTORNEY<br>DOCKET NO.<br>40655.5800 |  |
| APPLICANTS  |                                   |                     |         |                        |             |    |                                      |  |
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| ** CONTINUING DATA **********************************   |                                   |                     |         |                        |             |    |                                      |  |
| ** FOREIGN APPLICATIONS ************************************  |                                   |                     |         |                        |             |    |                                      |  |
| IF REQUIRED, FO<br>** 10/02/2001  | REIGN FILING LICENSE.             | GRANTED             |         |                        |             |    |                                      |  |
| Foreign Priority claimed<br>35 USC 119 (a-d) condition  | yes no Met aft                    | ′ I                 |         | SHEETS                 | ТОТ         |    | INDEPENDENT                          |  |
| Verified and<br>Acknowledged  | Mh                                | itials COUNT        | RY      | DRAWING<br>3           | CLAII<br>76 |    | CLAIMS<br>4                          |  |
| ADDRESS<br>20322<br>SNELL & WILMER<br>ONE ARIZONA CE<br>400 EAST VAN BU<br>PHOENIX , AZ<br>85004-2202   | NTER                              |                     |         |                        |             |    |                                      |  |
| TITLE<br>System and method  | d for creating and adminis        | stering an investme | nt inst | trument                |             |    |                                      |  |
|   |                                   |                     |         | □ All I                | Fees        |    |                                      |  |
|   |                                   |                     |         |                        |             |    |                                      |  |